



PTO/SB/30 (09-04)

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# Request for Continued Examination (RCE) Transmittal

Address to:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/858,157
Filing Date	May 14, 2001
First Named Inventor	Craig A. Yates, et al.
Art Unit	3713
Examiner Name	Enatsky, Aaron L.
Attorney Docket Number	156925-0003

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 09-0946. I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	October 6, 2004
Name (Print/Type)	Christopher A. Vanderlaan	Registration No.	37,747

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	October 6, 2004
Name (Print/Type)	Connie Kwon		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/13/2004 AWONDAF1 00000032 090946 09858157

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:	)	
	)	<b>Group Art Unit:</b> 3713
<b>Craig A. YATES, et al.</b>	)	
	)	<b>Examiner:</b> Enatsky, Aaron L.
Serial No.: 09/858,157	)	
	)	
Filed: May 14, 2001	)	Final Office Action mailed:
	)	
For: METHOD AND SYSTEM FOR	)	April 6, 2004
WIRELESS VALIDATION OF	)	
GAMING VOUCHERS	)	

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**PRELIMINARY AMENDMENT IN CONNECTION WITH REQUEST FOR  
CONTINUED EXAMINATION PURSUANT TO 37 C.F.R. § 1.114**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In connection with the accompanying Request for Continued Examination pursuant to 37 C.F.R. § 114, Applicant submits the following amendment and response to issues raised in the Final Office Action dated April 6, 2004. Applicants previously submitted an Amendment After Final Action pursuant to 37 C.F.R. § 116, but the Amendment was not entered as the Examiner concluded that it raised new issues. Accordingly, Applicants are pursuing a similar amendment by way of RCE. Applicants respectfully request that the accompanying amendments be entered, and that the pending claims be allowed for the reasons to be explained herein.

Claims 1, 2, 4-26, and 31-34 presently stand rejected over newly cited U.S. Patent 6,394,907 (Rowe). Claims 27-30 were previously withdrawn from consideration, and Claim 3 was previously canceled. By this paper, it is requested that Claims 1-2, 4-12, 19-26 and 31-34 be canceled without acquiescence in the grounds of rejection, and new Claims 35-40 added. The accompanying Remarks focus on independent Claim 13 (from which remaining Claims 14-18 depend). New Claims 35-40, as further explained, are system claims generally corresponding to

pending method Claims 13-18. For the reasons described in the Remarks below, it is respectfully submitted that Claims 13-18 and new Claims 35-40 are allowable over the newly cited Rowe '907 patent.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 8 of this paper.